

Guarantee Advice Amendment - Beneficiary Consent User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Guarantee Advice Amendment - Beneficiary Consent User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Guarantee Advise Amendment - Beneficiary Consent transaction.
- Help users to conveniently create and process Trade Finance transaction

## Overview

OBTFPM is a Trade Finance middle office platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Guarantee Advise Amendment - Beneficiary Consent

The Guarantee Advise Amendment Beneficiary Consent process takes care of Capture of Beneficiary Consent of Amendment Advised at the

- Advising Bank and
- Advise through Bank

This process has following three stages:

1. Registration
2. Data Enrichment
3. Authorization

The process may also have the following additional stages depending on the scenario:

- Amount Block Exception
- Limit Earmark Exception

This section contains the following topics:

[Common Initiation Stage](#)

[Document Linkage](#)

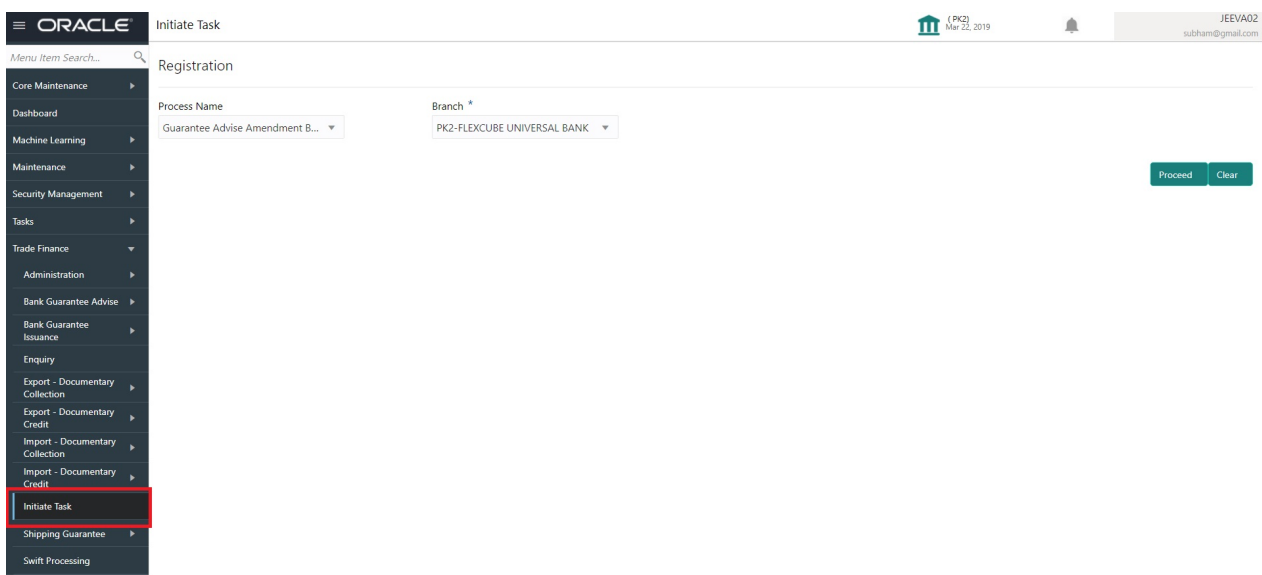
[Registration](#)

[Approval](#)

## Common Initiation Stage

The user can initiate the new guarantee advise amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



The screenshot displays the Oracle OBTFPM application interface. On the left, a dark sidebar contains a menu with various options. The 'Initiate Task' option is highlighted with a red rectangular box. The main content area is titled 'Initiate Task' and shows a 'Registration' form. The form has two input fields: 'Process Name' with the value 'Guarantee Advise Amendment B...' and 'Branch' with the value 'PK2-FLEXCUBE UNIVERSAL BANK'. At the bottom right of the form, there are two buttons: 'Proceed' and 'Clear'. The top right of the application shows the user's login information: 'JEEVA02' and 'subham@gmail.com'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

## Action Buttons

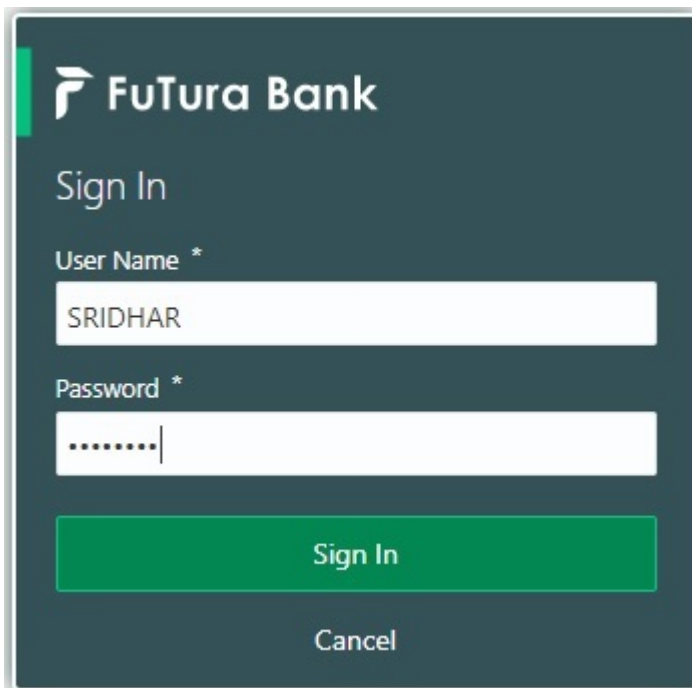
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

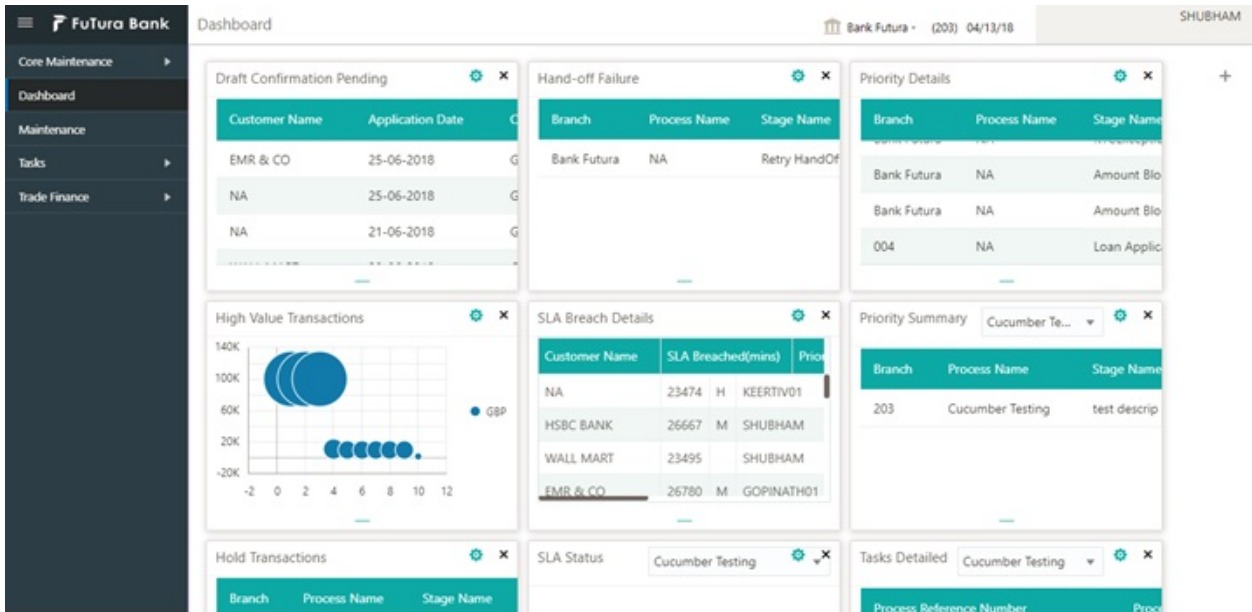
## Registration

During Registration stage, user can register the beneficiary consent response received for the amendment to Guarantee advised. User can enter the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents.

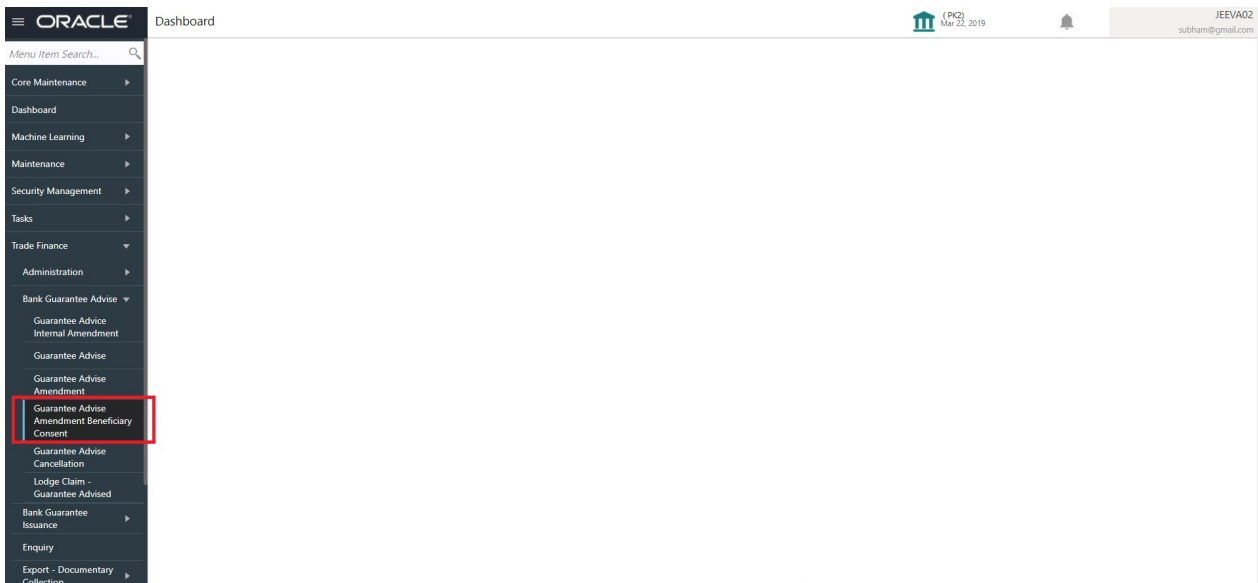
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' entered, and 'Password \*' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom center is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advice > Guarantee Advise Amendment - Beneficiary Consent.



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

## Application Details

Guarantee Advise Amendment Beneficiary Consent
Documents
Remarks
Customer Instruction

Application Details

Advising Bank Ref  
PK2GUAD2112SAVN5

Received From - Customer ID  
001044

Received From - Customer Name  
GOODCARE PLC

Branch  
PK2-Oracle Banking Trade Finan...

Process Reference Number  
PK2GTEA000007154

Priority  
Medium

Submission Mode  
Desk

Transaction Date  
May 5, 2021

View Undertaking

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

Hold
Cancel
Save & Close
Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Ref	Enter the Advising Bank Ref or alternatively select it from LOV <sup>1</sup> .	
Received From - Customer ID	Read only field. Customer ID will be auto-populated from the Guarantee /SBLC Amendment.	001344
Received From - Customer Name	Read only field. Customer Name will be auto-populated from the Guarantee /SBLC Amendment.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the Guarantee /SBLC Amendment.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV0015920
Priority	This field will be defaulted based on the priority maintained for the customer. Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High




Field	Description	Sample Values
Submission Mode	System defaults the submission mode as 'Desk' for the transactions created via Registration Users are allowed to change the values. The values are: <b>Desk</b> - Request received through Desk <b>Courier</b> - Request received through Courier <b>Email</b> - Request received through Email	Desk
Transaction Date	System defaults the current branch date. User can not change the date to a back date and future date.	04/13/2018

## Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the Guarantee in this section.

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated from the Guarantee /SBLC Amendment.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to Guarantee/ SBLC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated from the Guarantee /SBLC Amendment.	
Beneficiary Response	Select the beneficiary response from the drop-down. The values are: <ul style="list-style-type: none"> <li>Confirmed</li> <li>Rejected</li> </ul>  <b>Note</b>	
Remarks	Enter the remarks of the beneficiary response.	

## Miscellaneous

Guarantee Advise Amendment Beneficiary Consent
Documents
Remarks
Customer Instruction

Application Details

Advising Bank Ref  
PK2GUAD2112SAVN5

Received From - Customer ID  
001044

Received From - Customer Name  
GOODCARE PLC

Branch  
PK2-Oracle Banking Trade Finan...

Process Reference Number  
PK2GTEA000007154

Priority  
Medium

Submission Mode  
Desk

Transaction Date  
May 5, 2021

View Undertaking

Beneficiary Response Capture

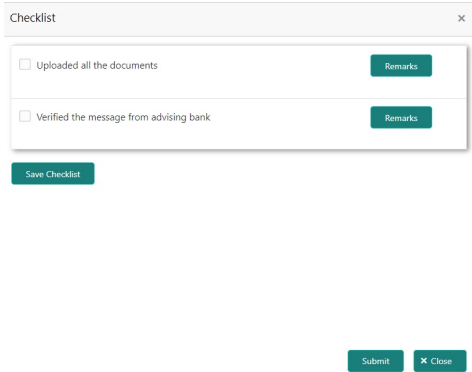
Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

Hold
Cancel
Save & Close
Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required <b>Guarantee/ SBLC Amendment – Beneficiary Confirmation Message</b> documents.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.  Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

### Action Buttons

Field	Description	Sample Values
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of SBLC/ Guarantee Amendment - Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the SBLC/ Guarantee Amendment - Beneficiary Consent Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

## Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status: All

Letter of Credit

Pro-forma Invoice

⬆

Letter of Credit

Application Form

⬆

+

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type \*  
Letter of Credit

Document Title \*

Remarks

Drop files here or click to select

Selected files: []

Document Code \*  
Insurance Policy

Document Description

Document Expiry Date

[Link Document](#)

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Documents

Document Status: All

Letter of Credit  
Pro-forma Invoice

Letter of Credit  
Application Form

wqwq.png

Created - 2022-06-28  
By - PERI01

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM\_DOCTYPE001

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description:

Document Expiry Date: Jun 29, 2022

Remarks:

Drop files here or click to select

Current selected files: []

Update Cancel

## Data Enrichment

SBLC/ Guarantee Amendment - Beneficiary Consent request that were received at the desk will move to Data Enrichment stage post successful Registration. The requests will have the details entered during the Registration stage. Registration user can input details in Application Details and in Beneficiary Response Capture section. If Registration user has entered details only in Application details, then DE user can input the details.

DE user can also change the details in Beneficiary Response Capture if already captured by Registration user.

The user can view the requests that are received via online channel like SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

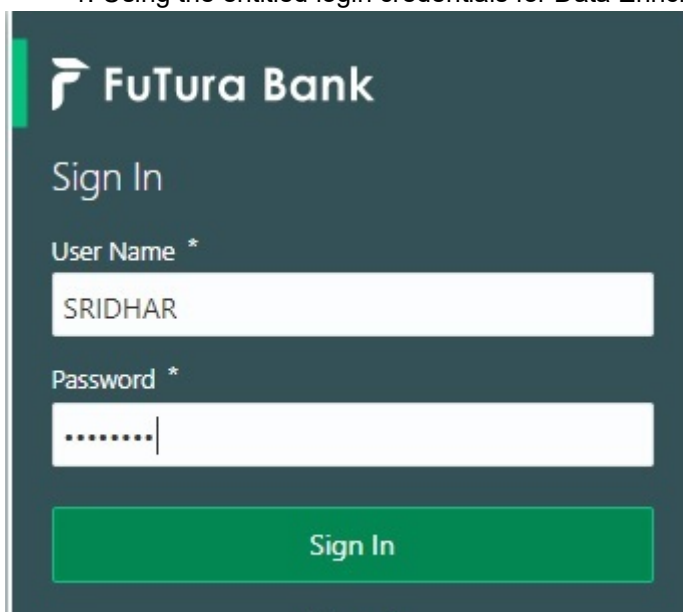


### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



The image shows a login screen for FuTura Bank. The header features the FuTura Bank logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters ".....". A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the Futura Bank Dashboard. The left sidebar contains a menu with options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area displays several widgets:

- Draft Confirmation Pending:** A table with columns: Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns: Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOff.
- Priority Details:** A table with columns: Branch, Process Name, and Stage Name. Data rows include Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transactions for GBP. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12.
- SLA Breach Details:** A table with columns: Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns: Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** A table with columns: Branch, Process Name, and Stage Name.
- SLA Status:** A dropdown menu showing Cucumber Testing.
- Tasks Detailed:** A dropdown menu showing Cucumber Testing.

3. Click Trade Finance> Tasks> Free Tasks.

The screenshot shows the Oracle Free Tasks page. The left sidebar contains a menu with options: Core Maintenance, Dashboard, Machine Learning, Maintenance, Security Management, Tasks, Awaiting Customer Clarification, Completed Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, Trade Finance, Administration, Bank Guarantee Advise, Bank Guarantee Issuance, Enquiry, and Export - Documentary Collection. The main area displays a table of tasks:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Guarantee Advise Amen...	PK2GTEA000042470	PK2GTEA000042470	DataEnrichment	20-12-15	PK2	001043
Acquire & E...	M	Import LC Drawing	PK2ILCD000042463	PK2ILCD000042463	Scrutiny	20-12-14	PK2	001044
Acquire & E...	M	Guarantee Advise Amen...	PK2GTEA000042456	PK2GTEA000042456	Approval Task Level 1	20-12-14	PK2	001043
Acquire & E...	M	Import LC Drawing	PK2ILCD000042447	PK2ILCD000042447	Registration	20-12-14	PK2	001044
Acquire & E...	M	Export LC Advise	PK2ELCA000042435	PK2ELCA000042435	DataEnrichment	20-12-14	PK2	006214
Acquire & E...	H	Import LC Issuance	PK2ILCI000042439	PK2ILCI000042439	Limit Earmark Exception App...	20-12-14	PK2	001043
Acquire & E...	M	Import LC Drawing	PK2ILCD000042437	PK2ILCD000042437	Scrutiny	20-12-14	PK2	001044
Acquire & E...	M	Export LC Advise	PK2ELCA000042367	PK2ELCA000042367	DataEnrichment	20-12-12	PK2	001044
Acquire & E...	M	Guarantee Issuance	PK2GTEI000042421	PK2GTEI000042421	Approval Task Level 1	20-12-14	PK2	001044
Acquire & E...	M	Guarantee Issuance	PK2GTEI000042405	PK2GTEI000042405	Scrutiny	20-12-14	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000042393	PK2ILCI000042393	Scrutiny	20-12-14	PK2	001043
Acquire & E...	M	Lodge Claim-Guarantee...	PK2GADC000042385	PK2GADC000042385	Handoff RetryTask	20-12-13	PK2	001044
Acquire & E...	M	Lodge Claim - Guarante...	PK2GTEC000042386	PK2GTEC000042386	Approval Task Level 1	20-12-13	PK2	001044
Acquire & E...	M	Export LC Amendment	PK2ELCA000042370	PK2ELCA000042370	Approval Task Level 1	20-12-13	PK2	001044

Page 1 of 70 (1 - 20 of 1392 items) | K < 1 2 3 4 5 ... 70 > X



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Ame...	PK2GTEA000042470	PK2GTEA000042470	DataEnrichment	20-12-15	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	PK2ILCD000042463	PK2ILCD000042463	Scrutiny	20-12-14	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise Amen...	PK2GTEA000042456	PK2GTEA000042456	Approval Task Level 1	20-12-14	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	PK2ILCD000042447	PK2ILCD000042447	Registration	20-12-14	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	PK2ELCA000042435	PK2ELCA000042435	DataEnrichment	20-12-14	PK2	006214
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000042439	PK2ILCI000042439	Limit Earmark Exception App...	20-12-14	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	PK2ILCD000042437	PK2ILCD000042437	Scrutiny	20-12-14	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	PK2ELCA000042367	PK2ELCA000042367	DataEnrichment	20-12-12	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000042421	PK2GTEI000042421	Approval Task Level 1	20-12-14	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000042405	PK2GTEI000042405	Scrutiny	20-12-14	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000042393	PK2ILCI000042393	Scrutiny	20-12-14	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Lodge Claim - Guarantee...	PK2GADC000042385	PK2GADC000042385	Handoff RetryTask	20-12-13	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim - Guarantee...	PK2GTEC000042386	PK2GTEC000042386	Approval Task Level 1	20-12-13	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Edit	M	Guarantee Advise Amendment B...	PK2GTEA000042470	PK2GTEA000042470	DataEnrichment	20-12-15	PK2	001043
<input type="checkbox"/> Edit		Lodge Claim - Guarantee Issued	PK2GTEC000042427	PK2GTEC000042427	Registration	20-12-14	PK2	001044
<input type="checkbox"/> Edit		Lodge Claim - Guarantee Issued	PK2GTEC000042426	PK2GTEC000042426	Registration	20-12-14	PK2	001044
<input type="checkbox"/> Edit		Lodge Claim - Guarantee Issued	PK2GTEC000042416	PK2GTEC000042416	Registration	20-12-14	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042373	PK2GTEI000042373	DataEnrichment	20-12-12	PK2	000153
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042363	PK2GTEI000042363	DataEnrichment	20-12-12	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042362	PK2GTEI000042362	DataEnrichment	20-12-12	PK2	000153
<input type="checkbox"/> Edit		Guarantee Advise Amendment B...	PK2GTEA000042340	PK2GTEA000042340	Registration	20-12-11	PK2	001043
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042339	PK2GTEI000042339	DataEnrichment	20-12-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042338	PK2GTEI000042338	DataEnrichment	20-12-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Advise Amendment B...	PK2GTEA000042337	PK2GTEA000042337	DataEnrichment	20-12-11	PK2	001043
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment ...	PK2GTEI000042332	PK2GTEI000042332	DataEnrichment	20-12-11	PK2	001044
<input type="checkbox"/> Edit		Import Documentary Collection ...	PK2IDCB000042316	PK2IDCB000042316	DataEnrichment	20-12-11	PK2	001044

The beneficiary consent response capture stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

## Main Details

Main details section has sub section as follows:

- Application Details
- Beneficiary Response Capture

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000007154

Screen ( 1 / 6)

**Main Details**

**Application Details**

Advising Bank Ref: PK2GUAD21125AVN5

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Process Reference Number: PK2GTEA000007154

Priority: Medium

Submission Mode: Desk

Transaction Date: May 5, 2021

[View Undertaking](#)

**Beneficiary Response Capture**

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		<a href="#">✎</a>

[Audit](#) [Reject](#) [Refer](#) [Hold](#) [Cancel](#) [Save & Close](#) [Back](#) [Next](#)

## Beneficiary Response Capture

The fields listed under this section are same as the fields listed under the [Beneficiary Response Capture](#) section in [Registration](#). Refer to [Beneficiary Response Capture](#) for more information of the During Registration, if user has not captured input, then user can capture the details in this section.

**Beneficiary Response Capture**

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		<a href="#">✎</a>

[Reject](#) [Refer](#) [Hold](#) [Cancel](#) [Save & Close](#) [Back](#) [Next](#)

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Additional Fields

This stage allows adding more fields that are required to process the request. These fields can be configured as part of implementation of the product.

Oracle My Tasks (PK2) Mar 22, 2019 JEEVA02 subham@gmail.com

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000042470

Clarification Details

Main Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Screen ( 2 / 6 )

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Back	On click of Back, system moves the task to the previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level in the back office.

Guarantee Advice Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780

Screen ( 3 / 6)

**Advices**

Advice : AMD_EXP_CR	Advice : GUA_AMD_INSTR	Advice : LC_ACK_AMND	Advice : LC_CASH_COL_A...
Advice Name: <b>AMD_EXP_CR</b> Advice Party : <b>BEN</b> Party Name : <b>GOODCARE PLC</b> Suppress : <b>NO</b> Advice	Advice Name: <b>GUA_AMD_INSTR</b> Advice Party : <b>BEN</b> Party Name : <b>GOODCARE PLC</b> Suppress : <b>NO</b> Advice	Advice Name: <b>LC_ACK_AMND</b> Advice Party : <b>ISB</b> Party Name : <b>CITIBANK IRELAND</b> Suppress : <b>NO</b> Advice	Advice Name: <b>LC_CASH_COL_ADV</b> Advice Party : <b>ISB</b> Party Name : <b>CITIBANK IRELAND</b> Suppress : <b>NO</b> Advice

**Audit** **Reject** **Refer** **Hold** **Cancel** **Save & Close** **Back** **Next**

The user can also suppress the Advice, if required.

**Advice Details**

**Advice Details**

Suppress Advice ☐

Party ID

Advice Name

Party Name

Medium

Advice Party





**FFT Code**

No data to display.

**Instructions**

**OK** **Cancel**

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	



Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Back	On click of Back, system moves the task to the previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Additional Details

Following tiles are present in Additional Details section:

- Limits and Collateral section
- Charges, commission and Taxes simulated from back office and populated in this screen
- Preview Messages simulated from back office and populated in this screen

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780

Screen ( 4 / 6)

Main Details

Additional Fields

Advices

**Additional Details**

Settlement Details

Summary

**Additional Details**

<p>Commission, Charges an...</p> <p>Charge : <b>GBP 50</b></p> <p>Commission :</p> <p>Tax :</p> <p>Block Status : <b>Not Initiated</b></p>	<p>Preview messages</p> <p>Language :</p> <p>Preview Messages :-</p>	<p>Limits and Collaterals</p> <p>Limit Currency :</p> <p>Limit Contribution :</p> <p>Limit Check Status :</p> <p>Collateral Currency : <b>GBP</b></p> <p>Collateral :</p> <p>Contribution : Collateral Check Status</p>
--	--	---

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Limit and Collateral

In this section user can to attach more than one line.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

# Limits Details

Limits and Collaterals

Limit Details

Customer ID

Line ID

Contribution %

Contribution Currency

Contribution Amount

Limit Check Response

Response Message

Edit

Delete

000327

100

USD

\$100.00

000327

Cash Collateral Details

Collateral Percentage \*  
67.0Collateral Currency and amount  
USD \$67.00Exchange Rate  
1

Sequence Number

Settlement Account Currency

Settlement Account

Exchange Rate

Collateral %

Contribution Amount

Contribution Amount in Account Currency

Account Balance Check Response

Response

1

GBP

PK1000327018

1.3

100

\$67.00

0

VS

The an  
can be

Deposit Linkage Details

Deposit Account

Deposit Currency

Deposit Maturity Date

Transaction Currency

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency)

Edit

Delete

PK2CDP1210860501

GBP

2022-03-27

GBP

199100

\$990.00

PK2CDP1210860501

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id  
001044

Line ID \*  
001044\_GB

Contribution % \*  
100.0

Limits Description

Contribution Currency  
GBP

Contribution Amount \*  
£9,000.00

Limit Currency  
GBP

Limit Available Amount  
£9,99,999.00

Limit Check Response  
Available

Response Message  
The Earmark can be performed as the f


Expiry Date  
24-Dec-2020

Verify

Save & Close

Close


Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

## Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> <b>Note</b> User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p>	
Contribution%	<p>System will default this to 100% and user can modify. System will display an alert message, if contribution is more than 100%.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Limits Description	Description of limit.	
Contribution Currency	The bill currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

## Collateral Details

The screenshot shows a 'Collateral Details' pop-up window. It has two columns of fields. The left column includes 'Collateral Type' (dropdown), 'Currency' (text), 'Settlement Account' (text with search icon), 'Settlement Account Currency' (text), 'Response' (text), and a 'Verify' button. The right column includes 'Collateral %' (text with up/down arrows), 'Contribution Amount' (text), 'Settlement Account Branch' (text), 'Account Available Amount' (text), 'Response Message' (text), and 'Save & Close' and 'Cancel' buttons. The 'Collateral Type' is set to 'Cash Collateral', 'Collateral %' is 20, 'Currency' is GBP, 'Contribution Amount' is £4,000.00, 'Settlement Account' is 2030013460000000017, 'Settlement Account Branch' is 203, 'Settlement Account Currency' is GBP, 'Account Available Amount' is £998,926,760.53, 'Response' is Available, and 'Response Message' is 'The amount block can be performed as'.

Provide the collateral details based on the description provided in the following table:

### Cash Collateral Details

Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	

Collateral Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	<p>Read only field.</p> <p>This field displays the exchange rate, if the settlement account currency is different from the collateral currency.</p>	
Contribution Amount in Account Currency	<p>Read only field.</p> <p>This field displays the contribution amount in the settlement account currency as defaulted by the system.</p>	
Account Available Amount	<p>Read only field.</p> <p>Account available amount will be auto-populated based on the Settlement Account selection.</p>	
Response	<p>Response can be 'Success' or 'Amount not Available'.</p> <p>System populates the response on clicking the <b>Verify</b> button.</p>	
Response Message	<p>Detailed Response message.</p> <p>System populates the response on clicking the <b>Verify</b> button.</p>	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

## Commission, Charges and Taxes Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > >>									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 > >>										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
-----------	------	------------	----------	--------	---------	-------	--------------------

Save & Close

Cancel

## Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	



Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Preview messages

Preview - SWIFT Message

Language

English

Message Type

Preview Message

Preview - Mail Advice

Language

English

Advice Type

Preview Message

Save & Close

Cancel

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Back	On click of Back, system moves the task to the previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Settlement Details

System should simulate the settlement details from back office and display the same in this screen.

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780 Overrides Screen ( 5 / 6 )

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AVL_SET_LCAMT		No	PK20010440017		GBP	No	No
AVL_SET_LCAMTEQ		No	PK20010440017		GBP	No	No
COLLAMT_OSEQ		No	PK20010440017		GBP	No	No
COLL_AMNDAMTEQ		No	PK20010440017		GBP	No	Yes
COLL_AMTEQ		No	PK20010440017		GBP	No	No
COLL_AMT_DECR		No	PK20010440017		GBP	No	Yes
COLL_AMT_INCR		No	PK20010440017		GBP	No	Yes
COLL_AVALAMTEQ		No	PK20010440017		GBP	No	No
LCEXADV_LIQD		No	PK20010440017		GBP	No	No

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	

Field	Description	Sample Values
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Back	On click of Back, system moves the task to the previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	



## Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

Oracle | My Tasks | (PK2) Mar 22, 2019 | JEEVA02 | subham@gmail.com

Guarantee Amendement Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000042470

Classification Details | Overrides

Screen ( 6 / 6 )

**Summary**

Main Details	Additional Fields	Commission, Charges and taxes	Preview messages	Advices
SBLG/Guarantee Type : <b>BILL</b>	Click here to view Additional fields	Charge : <b>GBP100</b>	Language : <b>ENG</b>	Advice1 : <b>GUA_AMD_IN</b>
Submission Mode : <b>Desk</b>		Commission :	Preview Message : -	Advice2 : <b>GUAR_RELEASE</b>
Date Of Issue : <b>2019-03-22</b>		Tax :		Advice3 : <b>LC_ACK_AMND</b>
		Block Status : <b>Not Initia</b>		Advice4 : <b>LC_CASH_CO</b>
				Advice5 : <b>PAYMENT_ME</b>

Accounting Details	Party Details	Settlement Details	Limits and Collaterals	Compliance details
Event :	Applicant : <b>GOODCARE PLC</b>	Component : <b>LCEXADV_LIQD</b>	Limit Currency :	KYC : <b>Not Initia</b>
AccountNumber :	Confirming Bank : <b>WELLS FARG</b>	Account Number : <b>PK20010430</b>	Limit Contribution :	Sanctions : <b>Not Initia</b>
Branch :	Beneficiary : <b>MARKS AND</b>	Currency :	Limit Status : <b>Not Verified</b>	AML : <b>Not Initia</b>
			Collateral Currency : <b>GBP</b>	
			Collateral Contr. :	
			Collateral Status : <b>Not Verified</b>	

**Audit** | Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next | Submit

### Tiles Displayed in Summary

- **Main Details** - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- **Additional Fields** – User can view the User Defined Field maintained.
- **Commission Charges and Taxes** - User can view the details provided for charges. User can only view but cannot modify the details.
- **Preview Messages** - User can have the preview of message.
- **Advices** - User can view the advice details.
- **Accounting Details** - User can view the accounting entries generated in back office.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- **Party Details** - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- **Settlement Details** - User can view the Settlement details.
- **Limits and Collaterals** - User can view the limits and collateral details. User can only view but cannot modify the details.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Button

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Back	On click of Back, system moves the task to the previous data segment.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

## Approval

The Approval user can review and approve the details updated in approval stage of the Beneficiary Consent response for Amendment under Guarantee Issued.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Description Approval the user can view a snapshot of the beneficiary consent response to an amendment made to this transaction as read only.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Summary

The screenshot shows the Oracle Summary screen for a Guarantee Advise Amendment Beneficiary Consent application. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information (JEEVA02, subham@gmail.com). The application details are: Application No: PK2GTEA000042456, dated Mar 22, 2019. The summary is divided into eight tiles: Main Details (SBL/Guarantee Type: BILL, Submission Mode: Desk, Date Of Issue: 2019-03-22), Additional Fields (Click here to view), Commission, Charges and taxes (Charge: GBP100, Commission: , Tax: , Block Status: Success), Preview messages (Language: ENG, Preview Message: -), Advices (Advice1: , Advice2: ), Accounting Details (Event: , AccountNumber: , Branch: ), Party Details (Confirming Bank: WELLS FARG, Applicant: GOODCARE PLC, Beneficiary: MARKS AND), Settlement Details (Component: LCEXADV\_LIQD, Account Number: PK20010430, Currency: ), and Compliance details (KYC: Verified, Sanctions: Verified, AML: Verified). At the bottom, there is an 'Audit' button and a row of action buttons: Reject, Refer, Hold, Approve, Back, and Next.

### Tiles Displayed in Summary:

- Main Details - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- Additional Fields – User can view the User Defined Field maintained.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Advices - User can view the advice details.
- Accounting Details - User can view the accounting entries generated in back office.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Settlement Details - User can view the Settlement details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Documents and Checklist

- Documents: Approval user can open the uploaded documents and verify them.
- Checklist: Verify the uploaded documents.
- Remarks: Approval user can view the remarks captured in the process during earlier stages.
- Incoming Message: User can view the SWIFT MT 768 if applicable

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Issuance under beneficiary consent approval.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

## Acceptance Criteria

As a OBT FPM user, the user can capture the beneficiary consent received for an Amendment of Guarantee/ SBLC to the beneficiary.

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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